

## DIGITALISATION AND EVIDENCE-BASED SCIENCE AND INNOVATION POLICY

Jornada sobre Inteligencia Artificial aplicada a las políticas públicas de ciencia, innovación y emprendimiento -Sesión sobre la postdigitalización de la política científica, de innovación y emprendimiento

Madrid, 26 June 2019

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## Context and outline of presentation

Multiple changes driven by innovation and digitalisation

The tools we use as evidence and how we use them



#### Artificial intelligence



Mapping the digitalization of our economies and societies



Understanding the policy implications



Specific implications for science and innovation policy



## Digitalisation in recent OECD work







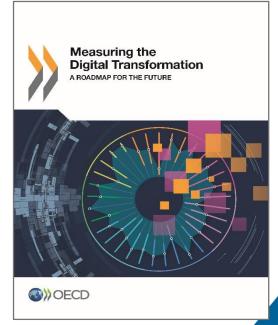






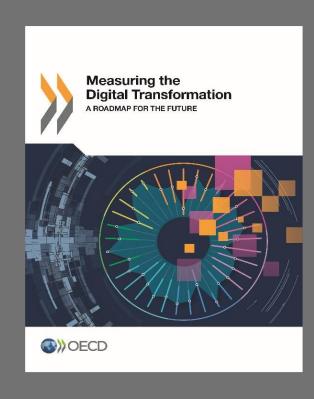








# DIGITALISATION OF SCIENCE AND INNOVATION

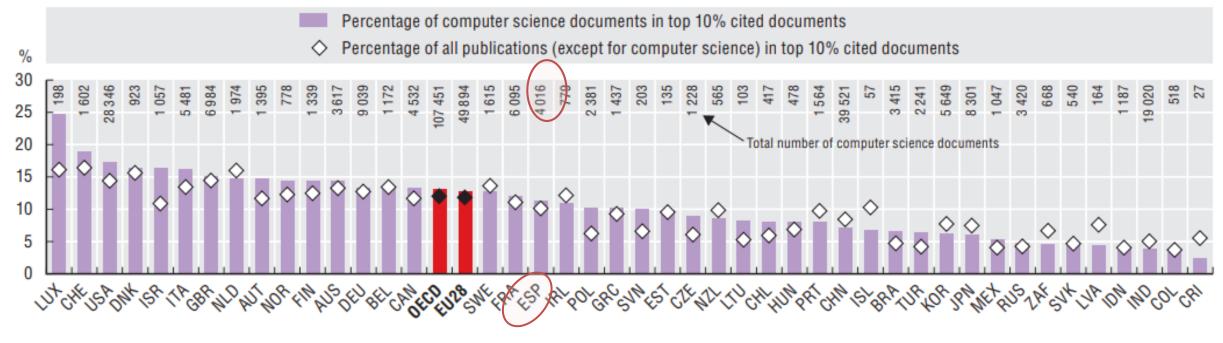




## Computer science publication output

#### Top 10% most-cited documents in computer science by country, 2016

As a percentage of documents in the top 10% ranked documents, by field, fractional counts

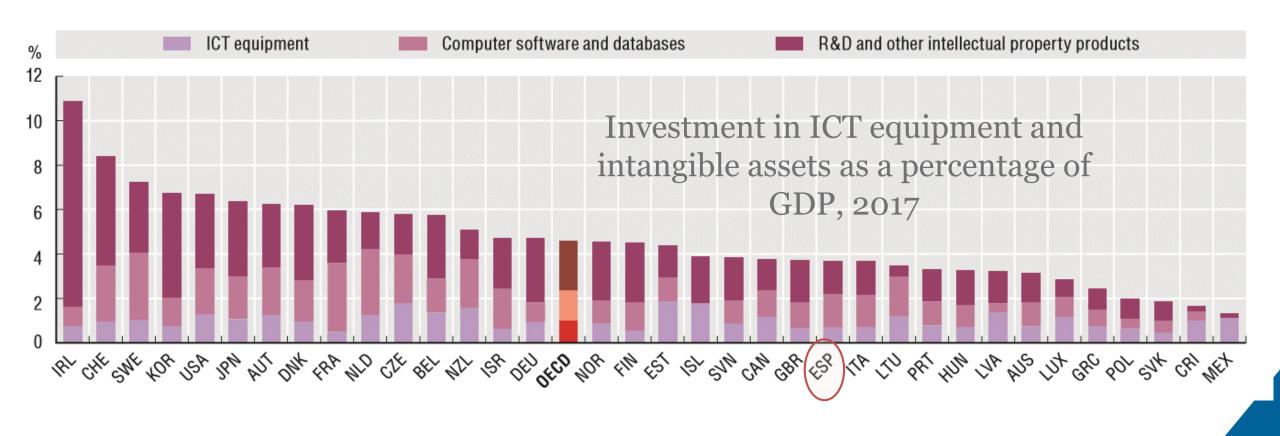


Source: OECD calculations based on Scopus Custom Data, Elsevier, Version 1.2018; and 2018 Scimago Journal Rank from the Scopus journal title list (accessed March 2018), January 2019. See chapter notes. StatLink contains more data.

# **>>**

#### **INVESTMENT AND NOVATION**



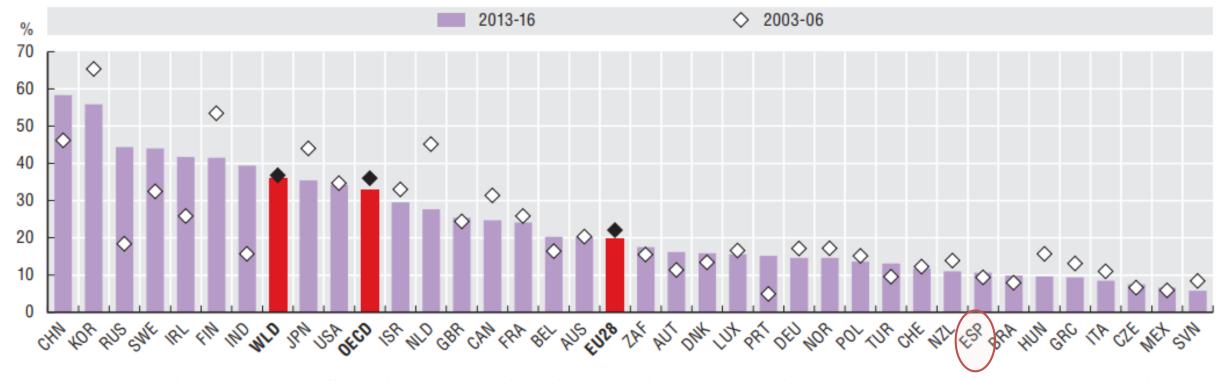


Source: OECD (2019), *Measuring the Digital Transformation*, based on OECD, National Accounts Statistics; Eurostat, National Accounts Statistics and national sources, February 2019.



#### Patents in ICT-related technologies, 2003-06 and 2013-16

As a percentage of total IP5 patent families, by country of ownership



Source: OECD, STI Micro-data Lab: Intellectual Property Database, http://oe.cd/ipstats, November 2018. See chapter notes. StatLink contains more data.

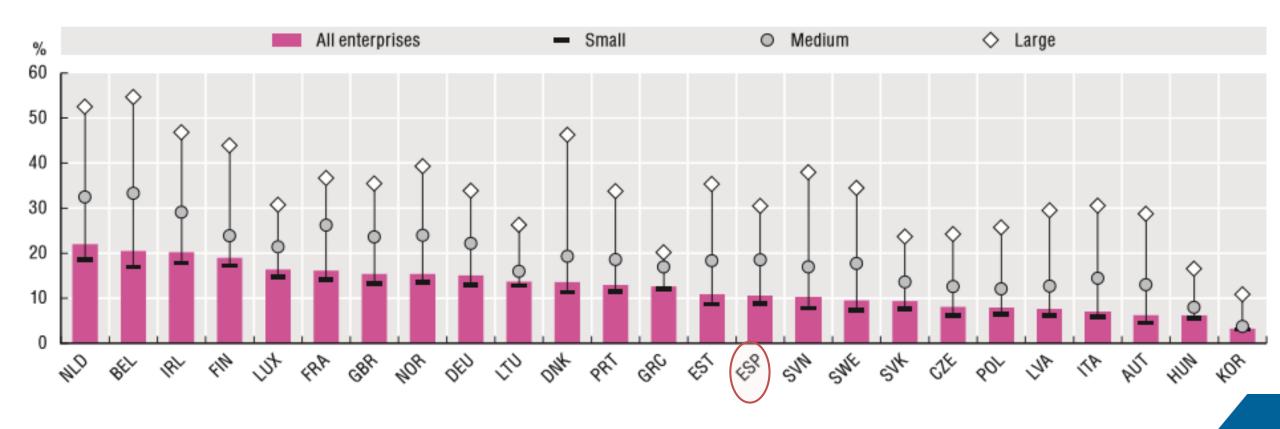


#### **INCREASING EFFECTIVE USE**



#### Enterprises performing big data analysis, by size, 2018

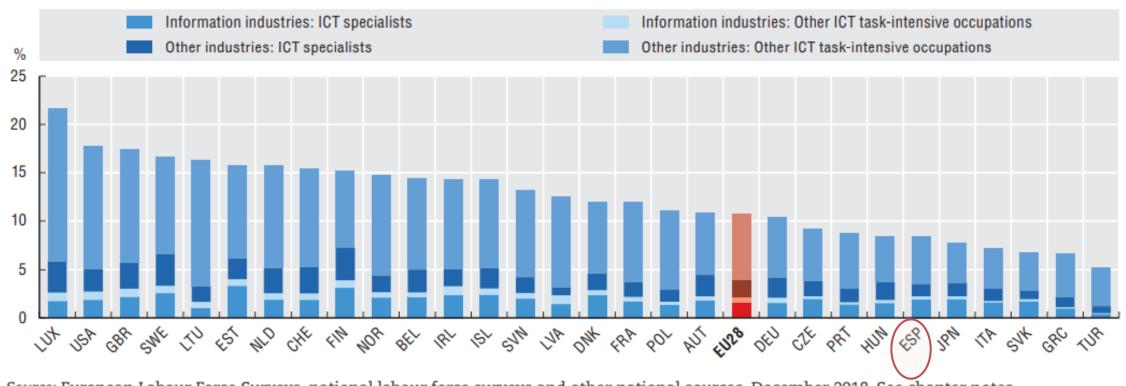
As a percentage of enterprises in each employment size class



Source: OECD (2019), *Measuring the Digital Transformation*, based on OECD, ICT Access and Usage by Businesses Database, http://oe.cd/bus, December 2018.



## Employment in ICT specialist and ICT task-intensive occupations within and outside information industries, 2017 As a percentage of total employment



Source: European Labour Force Surveys, national labour force surveys and other national sources, December 2018. See chapter notes.



#### The OECD Going Digital Toolkit

A RESOURCE TO BENCHMARK, VISUALISE, PLAY WITH DATA AND READ **ABOUT POLICY RESEARCH** http://www.oecd.org/going-digital-toolkit





#### Data and data flows

Data and data flows drive innovation, new products business models and markets, and have become an important source of value.

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#### Development

Digital technologies and data can drive growth and sustainable development across the world, but digital divides must be addressed.

#### Digital government

Digital government leverages digital technologies and data

#### Digital technologies

An ecosystem of interdependent digital technology increases in computing power and declines in underpins digital transformation.

#### Gender

Gender equality is not only a fundamental human right, it is also a cornerstone of a prosperous, modern society and it serves as a basis for inclusive growth

#### Productivity

Digital technologies and data hold promise to boost productivity and growth, but it is essential to realise this potential and ensure it is broadly shared.

#### Skills

In a fast-moving digital landscape, people need the right mix of skills - foundational, ICT and complementary skills - to thrive in work and life.

#### SMEs



#### Explore the Toolkit

The Going Digital Toolkit includes indicators, policy guidance and related publications to help countries realise the promises of digital transformation.



www.oecd.org/going-digital-toolkit



#GoingDigital

COUNTRY VIEW





**BENCHMARKS** 

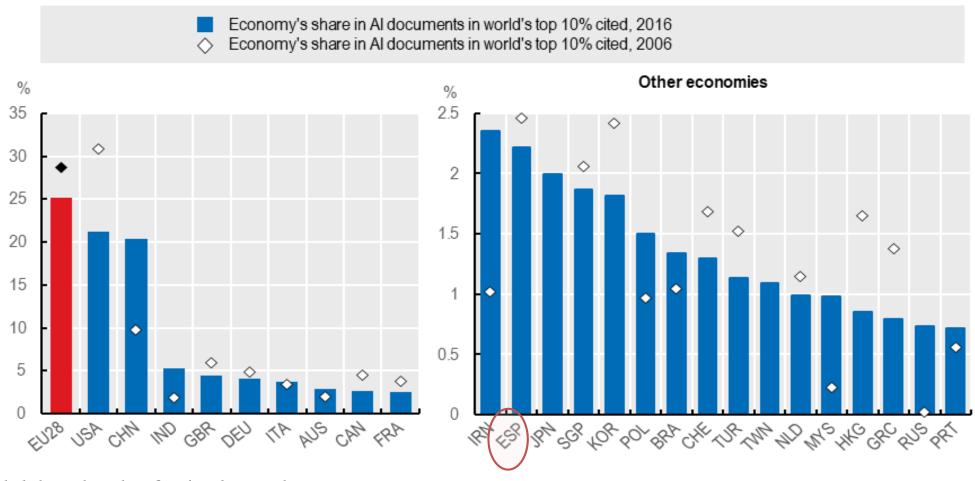


## ARTIFICIAL INTELLIGENCE



#### Production of top-cited scientific publications related to AI, 2016 and 2006

Economies with the largest number of AI-related documents among the 10% most cited publications



*Note*: Global shares based on fractional counts by economy.

Source: OECD (2019). Measuring the digital transformation. OECD calculations based on Scopus Custom Data, Elsevier.



# OECD Recommendation of the Council on Artificial Intelligence (Adopted May 2019)

## AI system:

An AI system is a machine-based system that can, for a given set of human-defined objectives, make predictions, recommendations, or decisions influencing real or virtual environments.

AI systems are designed to operate with varying levels of autonomy



## Main content of OECD Recommendation

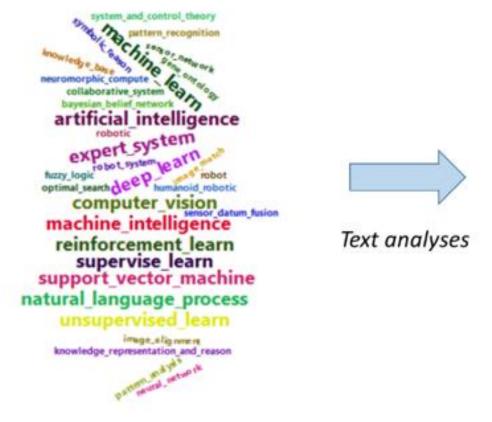
- Principles for responsible stewardship of AI
  - Inclusive growth, sust.
     developt & wellbeing
  - Human centred & fairness
  - Transparency & explainability
  - Robustness, security & safety
  - Accountability

- Recommendations
  - Investment in R&D and unbiased data
  - Enabling ecosystem for AI
  - Apt policy environment (controlled environments for testing)
  - Human capacity & preparedness for job transformation
  - International co-operation





# Identifying AI-related content in government funded R&D projects (US NIH and NSF funding example)

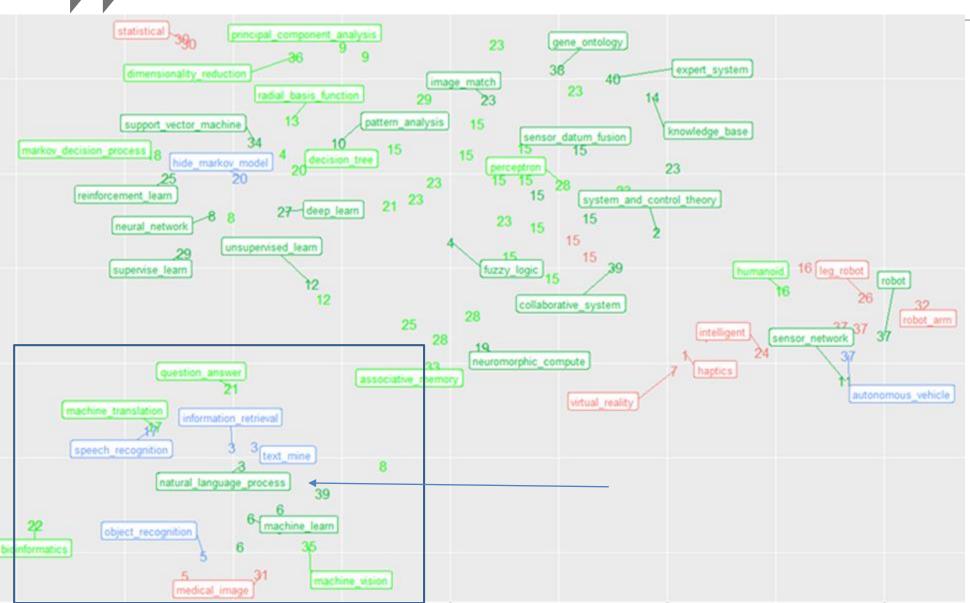


description logic deep convolutional neural network bioinformatics and convolutional neural network back propagation neural network perceptron text mine back propagation neural network perceptron decision tree neural network belief organize map network belief neural network same antity recognition self-organize map network belief network by a k nn classifier elman network keep pathway analog visit of network least neighbor classifier deep fee forward neural network responsible fee forward neural network neuron perceptron belief network spike neural network neuron or poke hardware rigid registration dimensionality reduction network word sense disambiguation plind pal.component analysis

Initial AI key terms from two keyword sets (MeSH and Cockburn) Semi-automatically retrieved additional AI key terms from Scopus, NIH and NSF data

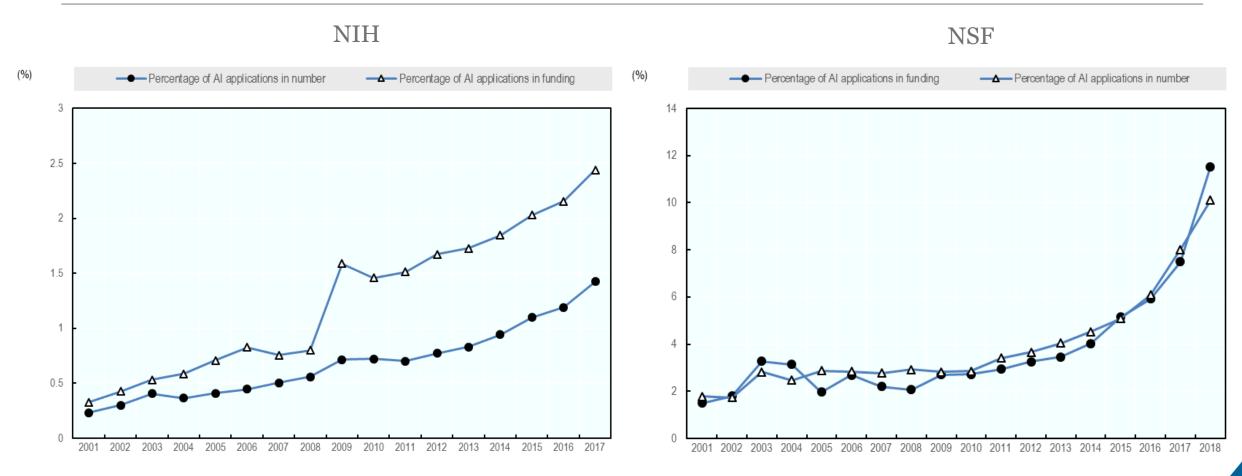


## Al-related terms in the NSF project corpus





## Al-related project funding at US NIH and NSF



Note: These are experimental indicators

Source: OECD analysis based on NIH Reporter and NSF Award Search data.



# SCIENCE AND INNOVATION POLICY AND ADMINISTRATION GOING DIGITAL



# The OECD DSIP project Is STI policy and administration "going digital"?



A digital science and innovation policy initiative entails the...

...adoption or implementation by public administrations

> .. of new (or re-used) procedures and infrastructures relying on intensive use of digital technologies and data resources

> > ... to support the formulation and delivery of science and innovation policy

What is the transformation potential and possible pitfalls of using digital tools and sources in science and innovation policymaking

How can OECD facilitate mutual learning between countries that are planning, developing or using DSIP systems.



## A stylised conceptual view of a DSIP initiative

Access and exchange of data, data disambiguation and matching

#### **Interoperability enablers**

Ontologies and taxonomies
Protocols
Data standards
Identifiers
Application Programming Interfaces

#### **Practices and rules**

#### Digital technologies

AI

Semantic web
Big Data
Distributed ledger technology (blockchain)
Visualisation dashboards
Web scraping and crawling software
Privacy preservation

etc

Data processing and analysis

#### **Data sources**

- Administrative data sources
- Commercial data
- Survey data
- Unstructured data sources

#### Services enabled

- Administrative workflows
- Support policy formulation and design
- Support performance monitoring and management
- Provide anticipatory intelligence
- Help in the general discovery of information

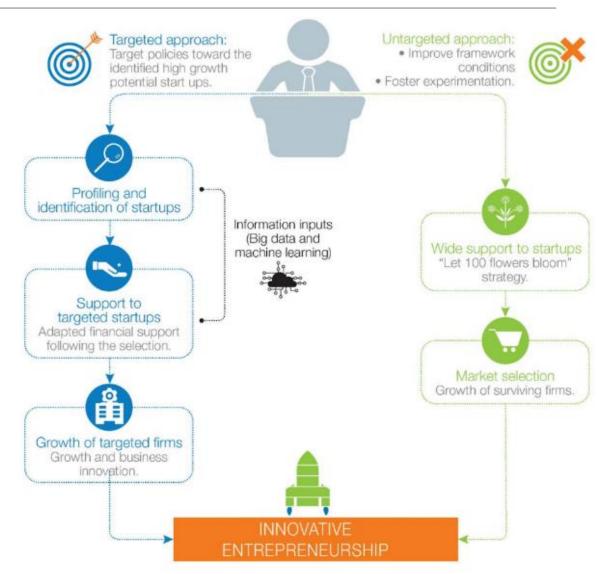
#### Users

- Ministries
- Publishers
- Government agencies
- Funding organisations
- HEIs, PRIs
- Firms
- Civil Society
- Researchers



# Possibilities for science and innovation policy Which areas generate sufficient data?

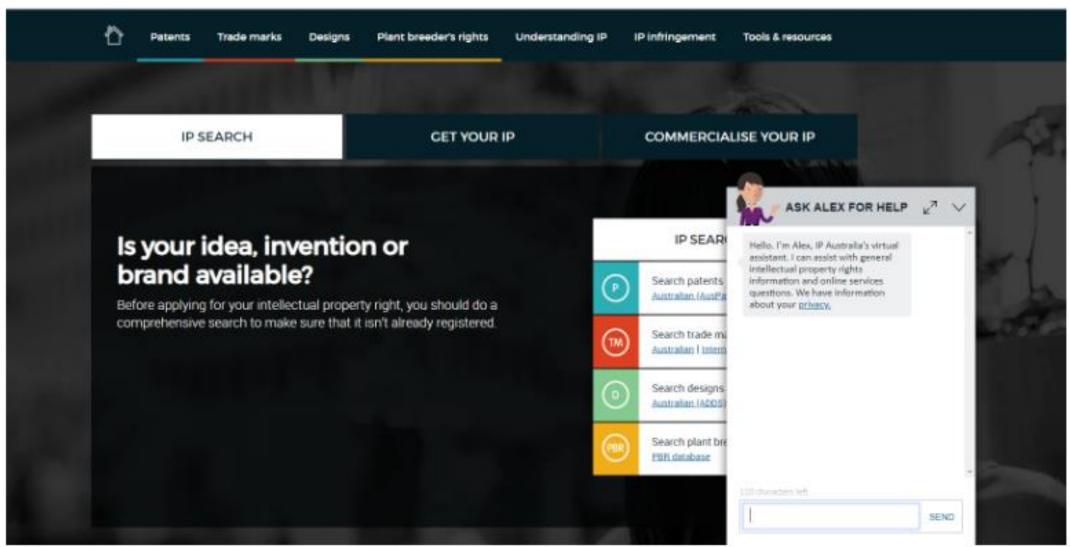
- R&D grants and tax incentives
  - Is it R&D?
  - Is it truly an SME?
  - Which firms should be audited?
- Public procurement of innovations
- Venture capital.
  - Where does promise lie?
- IP examination
  - Are claims truly new? How to classify?
- ... several others...
  - esp. low visibility tasks





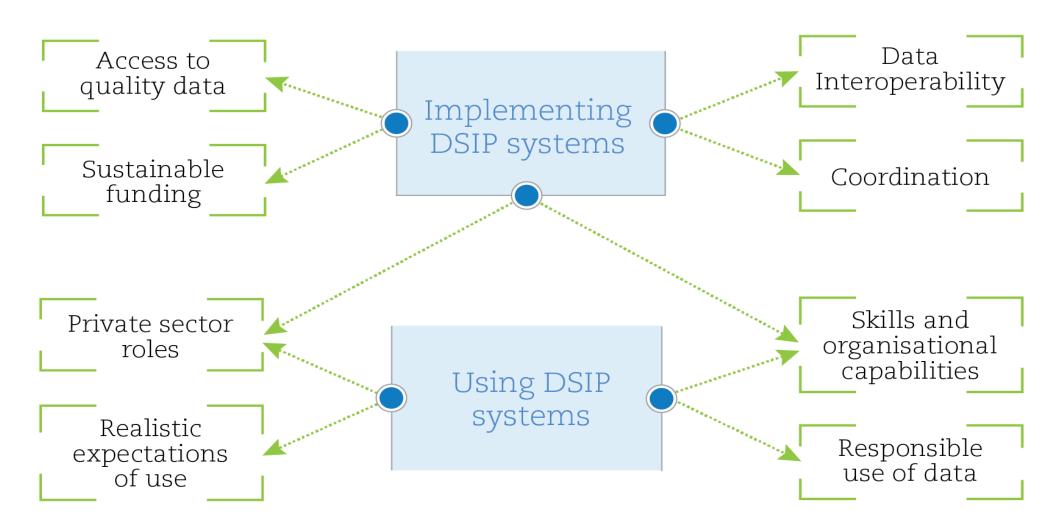








## Issues arising in implementing and using DSIP systems



Source: OECD DSIP report



## OECD DSIP project outputs

- Chapter in STI Outlook 2018
- A case study report on the DSIP landscape in Norway; (Draft)
- The final DSIP report (approx. 120 pages; to be completed by Q3 2019)
  with the following chapters: (Work in progress)



Overview of DSIP



Meeting the interoperability challenge



DSIP and the future of research assessment



The private sector in DSIP

• A contribution to the **CSTP synthesis report on digitalisation**;



## Reality checks: basic capability questions

- A lot of "not so big" data languishing in administrative silos
- Governments paying third parties for their own data
- Different parts of government speaking different "languages" broken interoperability
- Willingness to review the legal/institutional framework? Truly not allowed to share?
- Degree of utilisation of operational researchers, statisticians and data scientists within public administration
- Culture and norms driving institutional maturity to make use of data to inform decision making



#### **IMPLICATIONS FOR STATISTICS**

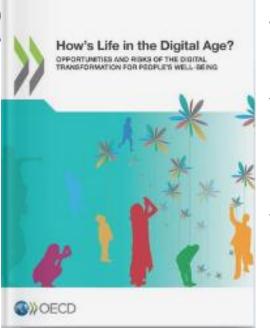


- Making the digital economy visible in economic statistics
- Understanding the economic impacts of the digital transformation
- Measuring well-being in the digital age
- Designing new and interdisciplinary approaches to data collection





- Transformative technologies (notably IoT, AI, Blockchain)
- 2. Data and data flows
- 3. Skills in the digital era
- 4. Trust in online environments
- 5. Governments' digital strengths

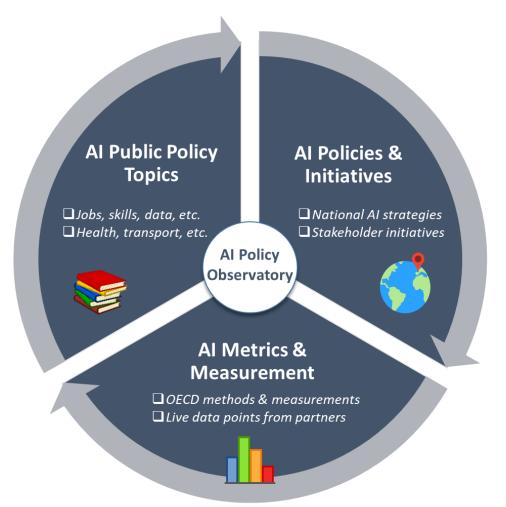




## OECD AI Policy Observatory

An online hub for AI information, evidence and policy options

## Core pillars:



### **Characteristics**

Multidisciplinary

Evidence-based

Multistakeholder



## Thank You / Gracias por su atención



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## ADDITIONAL SLIDES